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jc975 U.S. PTO  
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PTO/SB/05 (1/98)  
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Patent and Trademark Office: U S DEPARTMENT OF COMMERCE

|   |                               |   |
|---|-------------------------------|---|
| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br><small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small> | Attorney Docket No.           | 154616.1/MCS-051-00                     |
|   | First Inventor or Application | JIE YAN et al.                          |
|   | Title                         | System & Method for Face Recognition... |
|   | Express Mail Label No.        | EL74921474805                           |

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|--|---|--|--|
| <b>APPLICATION ELEMENTS</b><br>See MPEP chapter 600 concerning utility patent application  |   | ADDRESS TO: Assistant Commissioner for Patents<br>Box Patent Application<br>Washington, D.C. 20231 |  |
| 1. <input type="checkbox"/> *Fee Transmittal Form (e.g., PTO/SB/17)  | 6. <input type="checkbox"/> Microfiche Computer Program (Appendix)  |  |  |
| 2. <input checked="" type="checkbox"/> Specification [Total <b>28</b> ]  | 7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  |  |  |
| - Descriptive title of the Invention<br>- Cross References to Related Applications<br>- Statement Regarding Fed sponsored R & D<br>- Reference to Microfiche Appendix<br>- Background of the Invention<br>- Brief Summary of the Invention<br>- Brief Description of the Drawings (if filed)<br>- Detailed Description<br>- Claim(s)<br>- Abstract of the Disclosure | a. <input type="checkbox"/> Computer Readable Copy<br>b. <input type="checkbox"/> Paper Copy (identical to computer copy)<br>c. <input type="checkbox"/> Statement verifying identity of above copies |  |  |
| 3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total <b>7</b> ]  |   |  |  |
| 4. Oath or Declaration [Total <b>1</b> ]   |   |  |  |
| a. <input type="checkbox"/> Newly executed (original or copy)<br>b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. [Note Box 5 below])<br>i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u><br><u>inventor(s) named in the prior application.</u>  |   |  |  |
| 5. <input type="checkbox"/> Incorporation By Reference (usable if Box 4b is checked)<br>The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is  |   |  |  |

## ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & 37 C.F.R. 3.73(b) Statement ☐ Power of Attorney
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
13. ☐ \* Small Entity Statement(s) ☐ Statement filed in prior application,
14. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
15. ☐ Other: Express Mail Certificate
16. ☐ Other: Express Mail Certificate

\* A new statement is required to be entitled to pay small entity fees,

17. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:  
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application /

Prior application information: Examiner

Group / Art Unit

## 18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Labelor ☒ Correspondence address below

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| Name (Print/Type) | Katrina A. Lyon        | Registration No. (Attorney/Agent) | 42,821  |
| Signature         | <i>Katrina A. Lyon</i> | Date                              | 12/1/00 |

Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

**EXPRESS MAIL CERTIFICATE UNDER 37 CFR 1.10**

Date 12/1/00 Label No. EL74921474BUS

I hereby certify that, on the date indicated above I deposited the attached paper or fee and every paper or fee referred to therein with the U.S. Postal Service and that it was addressed for delivery to the Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231 by "Express Mail Post Office to Addressee" service.

Katrina A. Lyon Katrina A. Lyon  
Name (Print) Signature

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